

74451.P024

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Zandi, et al.

Serial No. 08/310,141

Filing Date: September 20, 1994

For: METHOD FOR COMPRESSION USING  
REVERSIBLE EMBEDDED WAVELETS

Examiner:

Art Unit:

FAX RECEIVED

AUG 10 1995

PETITIONS OFFICE

PETITION FOR RECONSIDERATIONCommissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

This is a petition for reconsideration of the Decision Dismissing Petition mailed July 13, 1995 from the Office of Petitions. The decision dismissing the petition indicated that a filing date of September 20, 1994 would be accorded if the request for reconsideration herein is accompanied by the following:

- 1) an amendment canceling the incomplete sentences at the bottom of page 42 and the top of page 44 and renumbering pages 44-103 as pages 43-102, respectively;
- 2) a separate letter requesting cancellation of page 43 submitted January 9, 1995; and

74451.P024

-1-

3) a supplemental oath or declaration by the applicants stating that their invention is adequately disclosed in, and they wish to rely on, the application as thus amended, without page 43, for purposes of an original disclosure and filing date.


Attached hereto are copies of a Preliminary Amendment canceling the incomplete sentences at the bottom of page 42 and the top of page 44 and renumbering pages 44-103 as pages 43-102, respectively. This amendment also requests cancellation of page 43 submitted January 9, 1995. A supplemental declaration has been concurrently filed in which the Applicant states that their invention is adequately disclosed in and they wish to rely on, the application as thus amended, without page 43 for purposes of an original disclosure and filing date. Note that only three of the four inventors signed the supplemental declaration. The other inventor is unavailable. At a later time, if and when circumstances change, a supplemental declaration with the omitted signature of the unavailable inventor will be filed with the PTO.

In view of the above, Applicant respectfully requests the filing date of September 20, 1994 be accorded to the above-referenced application and reconsideration of this petition is respectfully requested.

Please charge any shortages and credit any overcharges to our Deposit  
Account No. 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 8/10, 1995

  
Michael J. Mallie  
Attorney for Applicant  
Registration No. 36,591

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA. 90025-1026  
  
(408) 720-8598

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and  
Trademark Office in accordance with 37 C.F.R. §1.16(d), on August 10, 1995

By Triva Lewis  
Name

August 10, 1995  
Date

  
Signature

8-10-95  
Date

**PATENT**Attorney's Docket No.: 74451.P024**SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below, next to my name.

I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) named in the patent application entitled METHOD FOR COMPRESSION USING REVERSIBLE EMBEDDED WAVELETSthe specification of which was filed on September 20, 1994 as application number 08/310,141.

I hereby declare that the subject matter

XX of the application as filed on September 20, 1994 as application number 08/310,141 is adequately disclosed in the application without page 43 for purposes of an original disclosure and filing date

\_\_\_\_\_ of the attached amendment(s)

\_\_\_\_\_ of the amendment(s) filed on or about \_\_\_\_\_  
in the above-identified application

\_\_\_\_\_ of the amendment(s) filed on or about \_\_\_\_\_

in application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, which is a

\_\_\_\_\_ continuation

\_\_\_\_\_ divisional

of application Serial No. \_\_\_\_\_ filed \_\_\_\_\_

was part of my invention and was invented before the filing of the following application for such invention:

XX U.S. patent application number 08/310,141, filed September 20, 1994

\_\_\_\_\_ prior foreign application no. \_\_\_\_\_, filed in \_\_\_\_\_  
\_\_\_\_\_ on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	Filing Date	(Status -- patented, pending, abandoned)
(Application Serial No.)	Filing Date	(Status -- patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Ahmad Zandi

Inventor's Signature Ahmad Zandi Date 8/19/95

Residence Cupertino, California Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 10791 Johnson Avenue  
Cupertino, California 95014

Full Name of Second/Joint Inventor James D. Allen

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Thailand Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 205 Ban Namphu, M6 T Saphanhin A. Watsing J. Chai Nat 17120  
Thailand

Full Name of Third/Joint Inventor Edward L. Schwartz

Inventor's Signature Edward L. Schwartz Date 9 August 95

Residence Sunnyvale, California Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 1063 Morse Avenue #10-104  
Sunnyvale, California 94089

Full Name of Fourth/Joint Inventor Martin Boliek

Inventor's Signature Martin Boliek Date 9 Aug 95

Residence San Francisco, California Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 127 Farrum Street  
San Francisco, California 94131

**BLAKELY  
SOKOLOFF  
TAYLOR &  
ZAFMAN**

2400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025-1026  
Telephone: (408) 720-8598  
Facsimile: (408) 720-9397

**FAX RECEIVED**

AUG 10 1995

PATENT OFFICE

**TELECOPIER/FACSIMILE TRANSMITTAL SHEET****UNITED STATES PATENT AND TRADEMARK OFFICE**

**DELIVER TO:** John F. Gonzales  
Special Program Office

**TELEPHONE:** (703) 305-9282**FACSIMILE:** (703) 308-6916**FROM:** Michael J. Mallie; Reg. No. 36,591**DATE:** August 10, 1995**TIME:** 12:56 PM/PST**TOTAL NUMBER OF PAGES, INCLUDING COVER SHEET:** 9**OPERATOR:** Triva Lewis**MATTER NO:** 74451.P024**RE:** Serial No. 08/310,141; Filed 09/20/94

Attached please find proposed changes to patent application S/N 08/310,141.  
Please call to confirm receipt.

=====

THE DOCUMENTS ACCOMPANYING THIS FACSIMILE TRANSMISSION CONTAIN INFORMATION FROM THE LAW FIRM OF BLAKELY SOKOLOFF TAYLOR & ZAFMAN WHICH IS CONFIDENTIAL OR PRIVILEGED. THE INFORMATION IS INTENDED TO BE FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ON THIS TRANSMISSION SHEET. IF YOU ARE NOT THE INTENDED RECIPIENT, BE AWARE THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR USE OF THE CONTENTS OF THIS FAXED INFORMATION IS PROHIBITED. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US BY TELEPHONE IMMEDIATELY SO THAT WE CAN ARRANGE FOR THE RETRIEVAL OF THE ORIGINAL DOCUMENTS AT NO COST TO YOU.

IF YOU DO NOT RECEIVE ALL OF THE PAGES, OR IF THERE IS ANY DIFFICULTY IN RECEIVING, PLEASE CALL OUR MAIN OFFICE NUMBER: (408) 720-8598 AND ASK FOR THE OPERATOR NAMED ABOVE. THE FACSIMILE TELEPHONE NUMBERS ARE: (408) 720-9397 OR (408) 720-1657.

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Triva Lewis  
Triva Lewis

8-10-95  
Date